

Abnormalities of epidermal growth factor receptor (EGFR) in non-small-cell lung cancer (NSCLC) patients consist of EGFR overexpression and *EGFR* (*HER1*) gene mutations. Structural dysfunction of the tyrosine kinase domain of EGFR is associated with the clinical response to tyrosine kinase inhibitors (TKI) in patients with NSCLC. The most common *EGFR* gene mutations occur as either deletions in exon 19 or as substitution L858R in exon 21 and cause a clinically beneficial response to gefitinib or erlotinib treatment. Unfortunately, the majority of patients finally develop resistance to these drugs. Acquired resistance is linked to secondary mutations localised in the *EGFR* gene, mainly substitution T790M in exon 20. Through intense research a few different mechanisms of resistance to reversible tyrosine kinase inhibitors have been identified: amplification of *MET* or *IGF-1R* genes, abnormalities of PTEN and mTOR proteins as well as rare mutations in *EGFR* and *HER2* genes. Extensively investigated new drugs could be of significant efficiency in NSCLC patients with secondary resistance to reversible EGFR TKI.

Key words: epidermal growth factor receptor, *EGFR* gene mutations, tyrosine kinase inhibitors, resistance mechanisms.

Mechanisms of resistance to reversible inhibitors of EGFR tyrosine kinase in non-small cell lung cancer

Paweł Krawczyk¹, Radosław Mlak¹, Tomasz Powróżek¹, Marcin Nicoś¹, Dariusz M. Kowalski², Kamila Wojas-Krawczyk¹, Janusz Milanowski^{1,3}

¹Department of Pneumology, Oncology and Allergology, Medical University of Lublin, Lublin, Poland

²Department of Lung Cancer and Thoracic, Oncology Centre – Maria Skłodowska-Curie Institute, Warsaw, Poland

³Institute of Agricultural Medicine, Lublin, Poland

Introduction

Lung cancer is the main cause of cancer deaths both in Poland and in the world [1]. Non-small cell lung cancer accounts for about 85% of all lung cancers, and the progress in its treatment is still not very satisfactory. The therapeutic procedure of choice is surgery, but only every fifth patient qualifies for it. This is due to the fact that most patients at the time of diagnosis are in an advanced stage of the disease, usually accompanied with tumour metastases. In about 25% of such patients extension of life by a few month is possible by using standard chemotherapy or chemo-and radiotherapy. Such disappointing results force us to search for new therapeutic options [2, 3].

One of the new molecular targets for non-small cell lung cancer (NSCLC) therapy is the epidermal growth factor receptor (EGFR). EGFR (*HER1*) belongs to the ErbB (*HER*) receptor family. For its activation, not only a connection with a suitable ligand is necessary, but also homo- or heterodimerisation on the cell surface with other receptors of the *HER* family (*HER* 2-4). The intracellular domain of EGFR has activity of tyrosine kinase and it is responsible for the phosphorylation of cellular proteins in the *PI3K/AKT* signalling pathway. Furthermore, this domain has regulatory functions. In many cancers, including NSCLC, activating mutations or overexpression of the *EGFR* gene are found. In some cases progression of the disease – uncontrolled cell proliferation, inhibition of apoptosis, and the ability to metastasise – is the effect of excessive activation of intracellular pathways constantly stimulated by EGFR. Therefore, there is more and more interest in treatment associated with inhibition of EGFR [4–6].

EGFR inhibitors in the treatment of non-small cell lung cancer

In clinical practice, three mechanisms of inhibition of EGFR function are used. One of them is blocking of the extracellular domain of the receptor through monoclonal antibodies (cetuximab, panitumumab), which prevents connection of the EGFR ligand or receptor dimerisation. Blocking of signal transduction from the cell membrane to the nucleus by small molecule inhibitors of tyrosine and serine-threonine kinase is still in the experimental phase [9]. The biggest hopes for improving the prognosis in NSCLC are associated with the introduction of small molecule, reversible tyrosine kinase inhibitors of EGFR (EGFR TKI) – gefitinib and erlotinib. The mechanism of their action is based on reversible binding to the intracellular tyrosine kinase domain of EGFR and blocking of ATP binding. Selective connectivity of the inhibitor prevents phosphorylation of the tyrosine kinase domain, and in consequence activates the pathway of

cellular signal transduction, and leads to cell cycle arrest in G1 phase and increase in apoptosis of tumour cells. The therapeutic effect of EGFR TKI depends on the amino acid structure of the tyrosine kinase domain conditioned upon the state of the *EGFR* gene. Appearance of the most frequent activating mutation – deletion of 15 nucleotides in codons 746-750 in exon 19 and the L858R substitution in exon 21 of the *EGFR* gene – is associated with permanent stimulation of the receptor, but they also lead to an increase in both efficiency of reversible EGFR TKI and effectiveness of radiotherapy.

Activating mutations of the *EGFR* gene have been reported in only about 10% of Caucasians patients with NSCLC, more often in non-smokers, women, and patients with adenocarcinoma. Therefore, the first studies on the efficacy of erlotinib and gefitinib in second-line treatment (BR.21, ISEL, INTEREST) have shown that an objective response to EGFR TKI treatment occurs in less than 10% of patients in the general population [10–14].

In recent clinical trials (IPASS, OPTIMAL, EURTAC) conducted on carriers of activating mutations in the *EGFR* gene, over 70% response and almost one year progression-free survival (PFS) were reported. For this reason, both inhibitors were granted registration in the treatment of locally advanced and advanced NSCLC in first-line of treatment, and are an alternative to standard chemotherapy in patients with activating mutations of the *EGFR* gene. The method of qualification for EGFR TKI monotherapy in second- or third-line treatment in NSCLC is still debatable and unclear [10–16].

In the case of the wild type form of the *EGFR* gene, even if the receptor is overexpressed, EGFR TKI have not shown strong antitumor activity (none or a few percent of objective response) mainly for two reasons: lack of changes in the structure of the ATP-binding pocket of tyrosine kinase domain and the small role of the normal EGFR form in carcinogenesis of lung cancer. Therefore, investigation of the expression of EGFR on the cell surface (by immunohistochemistry) or amplification of the *EGFR* gene detected by molecular probes and fluorescence *in situ* hybridization (FISH) did not find a wider application in qualification for EGFR TKI therapy. In some cases, it is possible to consider the usefulness of the determination of *EGFR* gene amplification by the FISH method, if examination of the mutation is unfeasible or the outcome is uncertain, bearing in mind the frequent co-existence of *EGFR* gene mutations (10% of patients) with an increase in copy number of the gene (40% of patients) in tumour cells [7, 8].

Unfortunately, regardless of the applied line of EGFR TKI treatment, at some point of therapy, even in patients who carry an activating mutation in the *EGFR* gene and show a response, there is a recurrence of the disease and the patient's life is not prolonged [17, 18].

Rare mutations in the *EGFR* gene and resistance to EGFR TKI in NSCLC

Some mechanisms associated with resistance to reversible EGFR TKI in NSCLC are already known. The T790M mutation in exon 20 of the *EGFR* gene was detect-

ed for the first time in tumour cells of a patient with progression after a few months of efficacious therapy with gefitinib. That point mutation is caused by a single nucleotide substitution (C->T) at position 2369 (codon 790) in exon 20 of the *EGFR* gene. The T790M mutation leads to a substitution of threonine to methionine in the catalytic centre of EGFR tyrosine kinase, which is located in the binding pocket of TKI and ATP. Substitution of threonine at codon 790 into larger methionine probably results in blocking of the binding sites of erlotinib and gefitinib aromatic residues with their point of action. *In vitro* studies demonstrated that the effective concentration of erlotinib in tumour cells harbouring both the L858R and T790M mutations has to be 300 times higher to induce apoptosis than in cells only with an activating mutation in codon 858 [19, 20].

Presence of a mutation in exon 20 of the *EGFR* gene is a potential prognostic and predictive marker of EGFR TKI therapy and may play an important role in the planning of therapy. Maheswaran *et al.* examined 27 patients with activating mutations in the *EGFR* gene. These patients were treated with erlotinib or gefitinib. Activating mutations in *EGFR* were detected in cells obtained from primary tumour, circulating tumour cells in peripheral blood (consistency of results – 92%) and in free circulating DNA (consistency of results – 33%). The authors also investigated presence of the T790M mutation in similar samples, and they detected cells harbouring that mutation in 10 patients ($n = 26$; 38.5%). Median survival in patients with the T790M mutation treated with reversible EGFR TKI was 7.7 months against 16.5 months in patients without that mutation ($p < 0.001$). Mutations were detected using SARMS real-time PCR technique. That investigation has also shown that mutation in exon 20 of the *EGFR* gene leads to acquired resistance to treatment in patients not only with the wild type *EGFR* gene, but also in patients with confirmed clinical sensitivity to EGFR tyrosine kinase inhibitors [21].

Presence of T790M substitution may be an indication for treatment with irreversible EGFR TKI, such as neratinib, keratinib and especially afatinib, which is currently in the third phase of clinical trials. The LUX-Lung 1 study has shown that afatinib induces an objective response and prolongation of progression-free survival (PFS) in NSCLC patients who have been previously treated with EGFR TKI for at least 12 weeks. The rationale for this type of study is the fact that afatinib has a different molecular structure when compared to gefitinib and erlotinib. This structure causes that afatinib forms a covalent bond with cysteine at codon 733 of the EGFR tyrosine kinase domain. Therefore, inhibition of afatinib is only possible due to synthesis of new receptor proteins. Inhibition of phosphorylation by EGFR tyrosine kinase in tumour cells after afatinib exposure remains active for 48 hours (after gefitinib only 8 hours), and phosphorylation activity of the kinase after that time ranges from 50 to 70% of the primary activity. *In vitro* studies and some case reports have shown that afatinib is effective (cell apoptosis and disease stabilisation) in cells harbouring the T790M mutation in NSCLC patients [19, 22–26].

The mechanism of mutation appearance in NSCLC recurrence in EGFR TKI treated patients should be thoroughly examined. A resistant cell subpopulation harbouring T790M can emerge in the tumour probably as a result of massive apoptosis of tumour cells harbouring an activating mutation associated with response to therapy. Earlier investigations by Pao *et al.* did not confirm that observation. The authors examined presence of mutations in exons 18–24 of the *EGFR* gene in patients treated with EGFR TKI in order to determine if additional mutations in the *EGFR* gene are associated with progression of the disease. In patients with mutations identified in exon 19 or 21 additional mutations were not reported. Samples obtained from patients' tumour cells before treatment were re-examined to exclude the possibility of missing changes in exon 20 of *EGFR*. However, no evidence for the presence of the sought mutations was found. Mutations associated with resistance to EGFR TKI were observed in three tumor recurrences that emerged after treatment. It was the T790M mutation coexisting with deletion in exon 19 or L858R substitution in the *EGFR* gene [20].

Results of the investigations suggest that the resistance to treatment is acquired during therapy with EGFR TKI, and mutations may form *de novo*. It is worth mentioning that tumour cell clones harbouring T790M substitution may be rare, and the mutation may not be detected (due to the small number of cells with the T790M mutation in the whole sample). It is observed especially when the DNA sequencing technique is employed, which is characterised by relatively low sensitivity and requires the presence of at least 50% of mutated tumour cells in the examined sample [27].

Currently it is believed that T790M substitution occurs in over 50% of cases of acquired resistance to reversible EGFR TKI in NSCLC patients and in approximately 5% of patients before EGFR TKI treatment [15]. Before the therapy with EGFR TKI, the T790M mutation emerges along with activating mutations of the *EGFR* gene, and secondarily it coexists with the primary activating mutation in *EGFR*, especially L858R [17, 28–31].

With time, it was found that other mutations in exon 20 and 21 of *EGFR* (insertion-deletion, substitutions: D761Y, T854A, etc.) may be associated with the mechanism of acquired resistance to EGFR TKI. These mutations account for less than 5% of all known mutations in the *EGFR* gene. They are detected very rarely, so reports about their presence should be made carefully, and EGFR TKI treatment outcomes in patients harbouring these mutations should be confirmed on a larger group of patients. Furthermore, it is necessary to understand the mechanism of resistance caused by these mutations [17].

D761Y and T854A mutations in the *EGFR* gene were detected for the first time in patients with activating L858R mutation. Probably substitution of aspartic acid with tyrosine at position 761 is responsible for acquisition of resistance to TKI treatment of tumour cells with an activating mutation which are sensitive to the treatment (this theory was confirmed in tumour cell lines *in vitro*). However, this resistance to EGFR TKI has been many times lower than the resistance

in cells harbouring both the L858R and T790M mutations. In case of occurrence of both L858R and T790M mutations, the concentration of erlotinib should be three times higher in order to achieve a therapeutic effect. Analysis of the EGFR structure in patients harbouring the T854A mutation suggests the presence of an additional side chain, localised near the EGFR TKI binding site [19]. The role of rare mutations in exon 20 such as S768I and V769L in development of acquired resistance to EGFR TKI is still unknown. These substitutions were reported for the first time in NSCLC patients with progression, bearing activating mutations, after gefitinib therapy. *In vitro* cultures of cell lines harbouring the S768I substitution showed slightly more resistance to EGFR TKI than cells with wild type *EGFR*. In these cells permanent hyperphosphorylation of tyrosine at codon 1045 of the tyrosine kinase domain has been observed [17, 32–34].

Insertion-deletion mutations in exon 20 of *EGFR* are also associated with acquired resistance to EGFR TKI. These mutations, similarly to activating mutations in the *EGFR* gene, are more often detectable in Asians, non-smokers, women and in patients with adenocarcinoma. Most of the pre-clinical investigations allowed observation of their impact on resistance to therapeutic doses of gefitinib or erlotinib, and some of the irreversible EGFR TKI, e.g. neratinib. However, in the most common mutations such as N771GY and A767-V769 duplication, even though lack of sensitivity to erlotinib has been reported, low sensitivity to irreversible EGFR TKI such as afatinib and PF-00299804 was observed. Crystallographic analysis of epidermal growth factor receptor structure has shown that both mutations have an influence on the spatial structure of tyrosine kinase C-helix, which plays an important role in phosphorylation activity of that enzyme. Other insertions in exon 20 of the *EGFR* gene such as V738InsKIPVAI, M766InsASV, D770InsNPG and D770InsNPH seem to be associated with that process, but their role in development of resistance to reversible EGFR TKI is still unknown [35, 36].

The importance of mutations in the *K-Ras* gene in the planning of EGFR TKI therapy

Many studies have demonstrated that the presence of mutations at codons 12, 13 or 61 in the *K-Ras* gene is not a predictive factor in treatment with EGFR TKI. These observations stem from the fact that mutations in the *K-Ras* gene almost never coexist with mutations in the *EGFR* gene. Lack of mutations in *EGFR* determines the inefficiency of EGFR TKI used in therapeutic doses, which means that the occurrence of mutations in the *K-Ras* gene is no longer relevant. Therefore there were no objective responses to treatment with EGFR TKI among carriers of *K-Ras* mutations, which was initially considered as an independent, adverse predictive factor in EGFR TKI treatment. In fact, *in vitro* studies have shown that the destruction of tumour cells with the wild-type *EGFR* and mutant *K-Ras* gene is only possible with very high, non-therapeutic doses of EGFR TKI [37–39]. However, in some individual case reports in which coexistence of both *EGFR* and *K-Ras* gene mutations have been observed, the efficacy of EGFR TKI was

not entirely confirmed [40]. The situation is presented in a different manner when monoclonal anti-EGFR antibodies, such as cetuximab, are used in the treatment of colorectal cancer, head and neck cancer, and perhaps soon in NSCLC with strong overexpression of EGFR. Mutations in the *K-Ras* gene are a negative predictor here, and are routinely determined in qualification of patients for therapy. However, in the case of therapy with EGFR TKI the only test eligible for treatment is investigation of *EGFR* gene mutations [37, 41, 42].

Activation of an alternative signalling pathway from stimulated EGFR protein leads to activation of RAS protein and subsequently kinases associated with RAF/MAPK/ERK proteins and transcription factors such as MYC, FOS and JUN. Mutations which are present in the *K-Ras* gene lead to constant activation of Ras protein, so the tumour cells become independent of the signals from the EGFR, which explains the mechanism of resistance conferred by the EGFR TKI and monoclonal anti-EGFR antibodies. Mutations in the *K-Ras* gene occur with a frequency of 15–20% in Caucasian NSCLC patients. Substitutions in codons 12 (G12V, G12C, G12A, G12R, G12D, or G12S) and 13 (G13D) in the *K-Ras* gene are much more common than in codon 61 and they are mostly observed in smokers with adenocarcinoma (about 30% of patients with this type of cancer) [37, 38].

Activation of EGFR-independent signalling pathways in cancer cells

EGFR is not the only receptor on the cell surface responsible for initiation of signal transduction for cell activation and proliferation through PI3K/AKT and RAS/RAF/MAPK/ERK pathways. Insulin-like growth factor 1 receptor (IGF-1R) and the c-MET receptor for hepatocyte growth factor (HGF) also play an important role in this signal transduction. Overexpression of these receptors and amplification of the genes encoding them often occur in NSCLC cells [43].

Overexpression of c-MET is associated with generation of an additional excitation signal (without EGFR) in protein kinase of AKT, which allows tumour cells to survive and proliferate and induces the formation of metalloproteinases responsible for invasion and metastasis. This mechanism is observed in 3–7% of patients who were not treated with EGFR TKI and may explain the initial resistance to this kind of treatment. However, approximately 20% of patients with *EGFR* activating mutations develop *MET* gene amplification as a result of the creation of acquired resistance to EGFR TKI. Moreover, many patients are characterised by high levels of HGF in tumour tissue. Blocking of c-MET can restore the sensitivity of cancer cells to the EGFR TKI. Unfortunately, more than half of patients with *MET* gene amplification also presented the T790M mutation in the *EGFR* gene. Despite the lack of unequivocal efficacy, simultaneous inhibition of c-MET and EGFR function seems to be an attractive alternative therapeutic option in resistance to reversible EGFR TKI. There are ongoing second and third phase trials of the c-MET inhibitors tivantinib and PF-2341066, used together with erlotinib in patients previously untreated with EGFR TKI or in case of progression after successful monotherapy with erlotinib [17, 43–48].

Similarly to the c-MET receptor, the IGF-1R also leads to activation of the AKT pathway without EGFR in NSCLC cells. Development of effective small-molecule inhibitors of IGF-1R encountered difficulties due to the similarity in the tyrosine kinase domain of insulin and IGF-1 receptors. Attempts to use such drugs (e.g. linsitinib) had ended with serious side effects in the form of disorders in carbohydrate and lipid metabolism. Monoclonal anti-IGF-1R antibodies, such as figitumumab, proved to be more selective for the IGF-1R, but were also not devoid of side effects. As a result, attempts of the combined administration of figitumumab and erlotinib were discontinued in 2010 [49–51].

Mutations in the *HER2* gene

Mutations or amplifications of the *HER2* gene, whose product as well as EGFR (*HER1*) belongs to the family of HER receptors, plays an important role in the development of human tumours, such as breast, ovarian and stomach cancers. *HER2* compound is a preferential partner in the process of dimerisation for EGFR. Reactions between these receptors may play an important role in pathogenesis of NSCLC. In the case of increased expression of *HER2* protein and amplification of its gene (about 20–30% of patients with NSCLC, mainly patients with adenocarcinoma subtype) the dimerisation between *HER2* and EGFR occurs more easily and the ability to increase proliferation of tumour cells is higher. It is also connected with worse prognosis and more aggressive course of the NSCLC [52].

Mutations in the tyrosine kinase domain of *HER2* are reported in lung cancer as very rare (about 2–4%, more often in adenocarcinoma, non-smokers and women). They are independent of overexpression of this receptor. They occur as insertion-deletion changes, which change the reading frame in eight codons in exon 20 of the *HER2* gene. The most common disorders are insertion-deletion of 12 base pairs: A775YVMA (66% of all discovered mutations in the *HER2* gene) and M774AYVM. There are also very rare mutations such as L755S and G776X substitutions and ins776V. These mutations are analogous to a mutation in exon 20 of the *EGFR* gene and cause changes in the tyrosine kinase domain of the *HER2* receptor. On the basis of this similarity, it is assumed that a mutation in the *HER2* gene is caused by similar factors as in the *EGFR* gene and causes similar effects. Narrowing of the ATP binding gap leads to an increase in tyrosine kinase activity and excessive phosphorylation of signalling proteins and subsequent secondary resistance to the reversible EGFR TKI [53, 54].

Although the main mechanism of the decreased response to reversible EGFR TKI is caused by mutations in exon 20 (T790M) of the *EGFR* gene, equally strong resistance to reversible EGFR TKI may be caused by insertion-deletion changes in exon 20 of the *HER2* gene. However, studies of this phenomenon have been carried out only in cell cultures [53–55].

EGFR TKI, which are effective for the T790M mutation of the *EGFR* gene, may also prove to be effective in patients with mutations in exon 20 of the *HER2* gene, but only if they have the ability to block the function of the tyrosine kinase as-

sociated with both EGFR and HER2. A double reversible inhibitor of EGFR and HER2 receptors called lapatinib has not shown efficacy in this case. However, irreversible inhibitors, such as afatinib and neratinib, which additionally have a likely inhibition effect on the HER4 tyrosine kinase, may be effective in the case of mutations in both genes. Mutations in exon 20 of the *HER2* gene do not eliminate the possibility of afatinib binding to the tyrosine kinase domain, as the drug is covalently associated with cysteine at codon 805 of this domain. In *in vitro* cultures afatinib is characterised by about 10-fold greater ability to inhibit phosphorylation than gefitinib in case of mutations in exon 20 of *EGFR* or *HER2* genes. Also several cases have been reported where afatinib was effective in NSCLC patients with mutations in exon 20 of the *HER2* gene. There are also premises about the effectiveness of combined use of afatinib and mTOR inhibitors (mammalian target of rapamycin) if *HER2* mutation is present. Signalling mTOR protein is activated by the PI3K/AKT cascade and provides the signal which regulates both mRNA translation and cell growth. Its inhibition by such agents as temsirolimus, everolimus or deforolimus can increase the potency of dual inhibitors of EGFR and HER2 and overcome the resistance to their use [25, 53–55].

Directions for further studies

The greatest hope for an extension of progression-free survival in patients treated with reversible EGFR TKI, in whom resistance has developed, is provided by test results on the effectiveness of irreversible EGFR TKI. *In vitro* studies have shown that these drugs exhibit activity against cells with the wild-type or mutated (with activating mutation) form of the *EGFR* gene, as well as against cells which are resistant to them because of the presence of the T790M mutation in the *EGFR* gene and insertion-deletion changes in the *HER2* gene. However, in the case of wild-type *EGFR* and mutations which cause resistance to drugs, the concentration of agents required to effectively induce apoptosis in tumour cells may exceed the tolerated dose level in therapy. Currently, it is known that afatinib, which is in the most advanced trials, is effective in some patients who have benefited from pre-treatment with reversible EGFR TKI. Therefore, the expansion of molecular tests with studies concerning mechanisms of resistance to reversible EGFR TKI is necessary. Determination of presence of resistance mutations that cause insensitivity of cancer cells to reversible EGFR TKI, but which does not rule out the effectiveness of irreversible EGFR TKI, may be considered as a qualifying factor for changing the type of therapy [55–57].

There are also investigations on the effectiveness of other irreversible EGFR TKI such as PF-00299802, EKB-569, canertinib (CI-1033) and neratinib (HKI-272). All of these agents are required in relatively high doses in order to overcome the resistance to EGFR TKI in cancer cells. However, an additional advantage of the irreversible EGFR TKI is their ability to suppress other receptors of the HER group (pan-ErbB inhibitors), which are necessary for heterodimerisation with EGFR. Use of irreversible EGFR TKI and monoclonal anti-EGFR (cetuximab) antibodies or concomitant administration of EGFR and

c-MET inhibitors may present prospects for the future. The results of clinical trials of new generation drugs are promising and their usefulness in molecularly targeted therapies will probably be proven in the near future [55–57].

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Address for correspondence

Prof. **Paweł Krawczyk** MD, PhD
 Department of Pneumology, Oncology and Allergology
 Medical University of Lublin
 Jaczewskiego 8
 20-954 Lublin
 tel. +48 81 724 42 93
 e-mail: krapa@poczta.onet.pl

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